

Joint Audit and Standards Committee attendee evaluation 2023 as at 8th January 2024.

Key attendees were asked for their views on the operation of the JASC during 2023.

	<i>Unable to assess</i>	<i>Poor 1</i>	<i>Weak 2</i>	<i>Acceptable 3</i>	<i>Good 4</i>	<i>Very Good 5</i>	<i>Optional Comments (particularly for areas of improvement)</i>
How well do you think the JASC displays an appropriate understanding of:-							
<u>Organisational knowledge</u>							
1.1 the organisation's governance structures and decision making processes.					XXXXXX		
1.2 organisational objectives & major functions of the organisation.				X	XXXXX		
<u>Audit committee role and functions</u>							
2.1 the JASC's role and place within the governance structures				XX	XX	XX	Note 1 -There have been a couple of examples where some confusion has come in on the remit, e.g. asking for assurance on our approach to cyber crime
<u>Technical understanding of:-</u>							
3.1 the principles of good governance					XXX	XXX	
3.2 Internal Audit				XX	XX	XX	Note 4 -There is often capacity pressures so more resource would enable a wider and more extensive audit.
3.3 External Audit				X	XXXX	X	Note 5 -There is often capacity pressures so more resource would enable a wider and more extensive audit.

Appendix B

3.4 Financial management and reporting					XXXX	XX	
3.5 Risk management					XXX	XXX	
3.6 Counter fraud	X			XX	XXX		Note 9 - Coverage and assurance low not as active in this area as others
3.7 Treasury management	X				XXXXX		

	<i>Unable to assess</i>	Poor 1	Weak 2	Acceptable 3	Good 4	Very Good 5	Optional Comments (particularly for areas of improvement)
How well do you think the JASC displays appropriate ways of working :-							
Strategic thinking and understanding of materiality: Is able to focus on material issues and the overall position rather than being side tracked by detail?				X	XXXXX		Note 7 - Occasionally can get into the detail – e.g. typos and wording
Questioning and constructive challenge: Is able to frame questions that draw out relevant facts and explanations, challenge performance and seeking explanations while avoiding hostility or grandstanding?				X	XXXX	X	Note 2 - No evidence at all of hostility. I know there has been discussion of the role of the JASC and commissioning of reports etc. I have also seen steps taken to clarify this so it is actively being considered
Focus on improvement: that ensures there is a clear plan of action and allocation of responsibility?					XXXXXX		Note 10 - May be a yes if our HMCFRS PEEL score improves
Able to balance practicality against theory: is able to understand the practical implications of recommendations to understand how they might work in practice?				X	XXXXX		
Clear communication skills: Is able to clearly communicate advice and opinions to JASC attendees?					XXXXX	X	
Objectivity: Evaluates information based on evidence presented, avoids bias or subjectivity?					XXXX	XX	

Appendix B

<p>Meeting management: meetings are conducted effectively?</p>				XXXX	XX	<p>Note 3 - There have been some challenges in what papers are required and the administration. These have been noted and rectified.</p> <p>Note 6 - There have been issues with agenda items not being agreed sufficiently in advance to enable authors to compile sufficiently comprehensive reports. There was also, on occasion, a habit creeping in whereby JASC was seeking management report style updates. This is now improving following recent meetings to discuss improvement</p> <p>Note 8 - In the past meetings have had too many items on agenda, and lasted too long, although this has started to improve latterly. Would be "good" if this is sustained.</p>
---	--	--	--	------	----	--

Completed by:

Stephen Russell , Notes 1 & 3

Jackson Murray, Note 2

DCC Alex Franklin-Smith, Notes 4, 5 & 6

Polly Read, Notes 7 and 8

Jeff Carruthers, Notes 9 & 10

Sara Ansell